

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: To Be Assigned  
Applicant: M. Murakami et al.  
Filed: Herewith  
Title: MAGNETO-OPTICAL RECORDING MEDIUM, METHOD OF MANUFACTURING  
MAGNETO-OPTICAL RECORDING MEDIUM, METHOD OF RECORDING ON  
MAGNETO-OPTICAL RECORDING MEDIUM, AND METHOD OF  
REPRODUCTION FROM MAGNETO-OPTICAL RECORDING MEDIUM  
TC/A.U.:  
Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

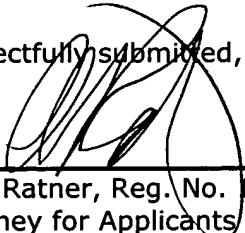
- 1) JP 6-290496
- 2) WO 03/046905

References 1) to 2) include English language abstracts. Also, a "concise explanation" under 37 CFR Section 1.98(a)(3) is set forth in the background portion of the above specification on pages 3 and 25, respectively.

Reference 1) includes U.S. corresponding patents.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

  
\_\_\_\_\_  
Allan Ratner, Reg. No. 19,717  
Attorney for Applicants

AR/dlm

Enclosures: PTO Form 1449  
(5) References

Dated: October 8, 2003

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
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Kathleen Libby

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Substitute for Form 1449A/PTO

*Complete if Known*

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 1

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	M. Murakami et al.
Art Unit	
Examiner Name	

Attorney Docket No. MTS-3473US

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,403,148 (corresponds to JP 6-290496)	6/11/2002	Shiratori et al.	Entire Document
		US-6,399,174 (corresponds to JP 6-290496)	6/4/2002	Shiratori et al.	Entire Document
		US-6,027,825 (corresponds to JP 6-290496)	2/22/2000	Shiratori et al.	Entire Document
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		WO 03/046905 (w/English abstract)	6/5/2003	Matsushita Electric Industrial Co., Ltd.	Entire Document	<input type="checkbox"/>
		JP 6-290496 (w/ English abstract & corresponds to US 6,403,148, US 6,399,174, US 6,027,825)	10/18/1994	Canon Inc.	Entire Document	<input type="checkbox"/>
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.